

# Is the human sex odds at birth distorted in the vicinity of nuclear facilities (NF)?

## A preliminary geo-spatial-temporal approach

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# Content

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- **Background / Motivation**
- **Data**
- **Statistical Methods**
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# Background / Motivation

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- The **Helmholtz Center** Munich is a leading research centre in Europe in the field of environmental health
- Identification of environmental hazards: e.g. chemical toxins (endocrine disruptors), nano particles, particulate matter, ozone, **ionizing radiation**, non-ionizing radiation
- Identification of mechanisms of general health detriment for plants, animals, and humans, especially genetic effects
- Risk assessment – qualification and quantification of risks
- **The focus in this presentation is on ionizing radiation (IR) and possible radiation induced changes in the human sex odds at birth (SO) near Nuclear Facilities (NF)**
- **An influence of IR on the SO potentially indicates genetic damage**

# Background / Motivation: Sex Odds (SO) vs. Sex Ratio (SR)

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- Traditionally, the **SR** is the pertinent term for the number of newborn boys divided by the number of newborn girls

$$\mathbf{SR} = \mathbf{boys/girls} = \mathbf{m/f}$$

- However, considering the male probability

$$p_{\text{male}} = \mathbf{boys/(girls + boys)} = \mathbf{m/(m+f)}$$

leads to considering the important and methodologically more appropriate sex odds

$$\mathbf{SO} = p_{\text{male}}/(1 - p_{\text{male}}) = \mathbf{boys/girls} = \mathbf{SR}$$

- Comparing two **SO** leads to the obvious and natural measure **Sex Odds Ratio**

$$\mathbf{SOR} = \mathbf{SO}_{\text{exposed}}/\mathbf{SO}_{\text{nonexposed}}$$



- **The inconvenient term “sex ratio ratio” is avoided**

# Background / Motivation: SO and IR

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## Genetic theory for the human sex odds at birth

### Irradiated parents and offspring gender

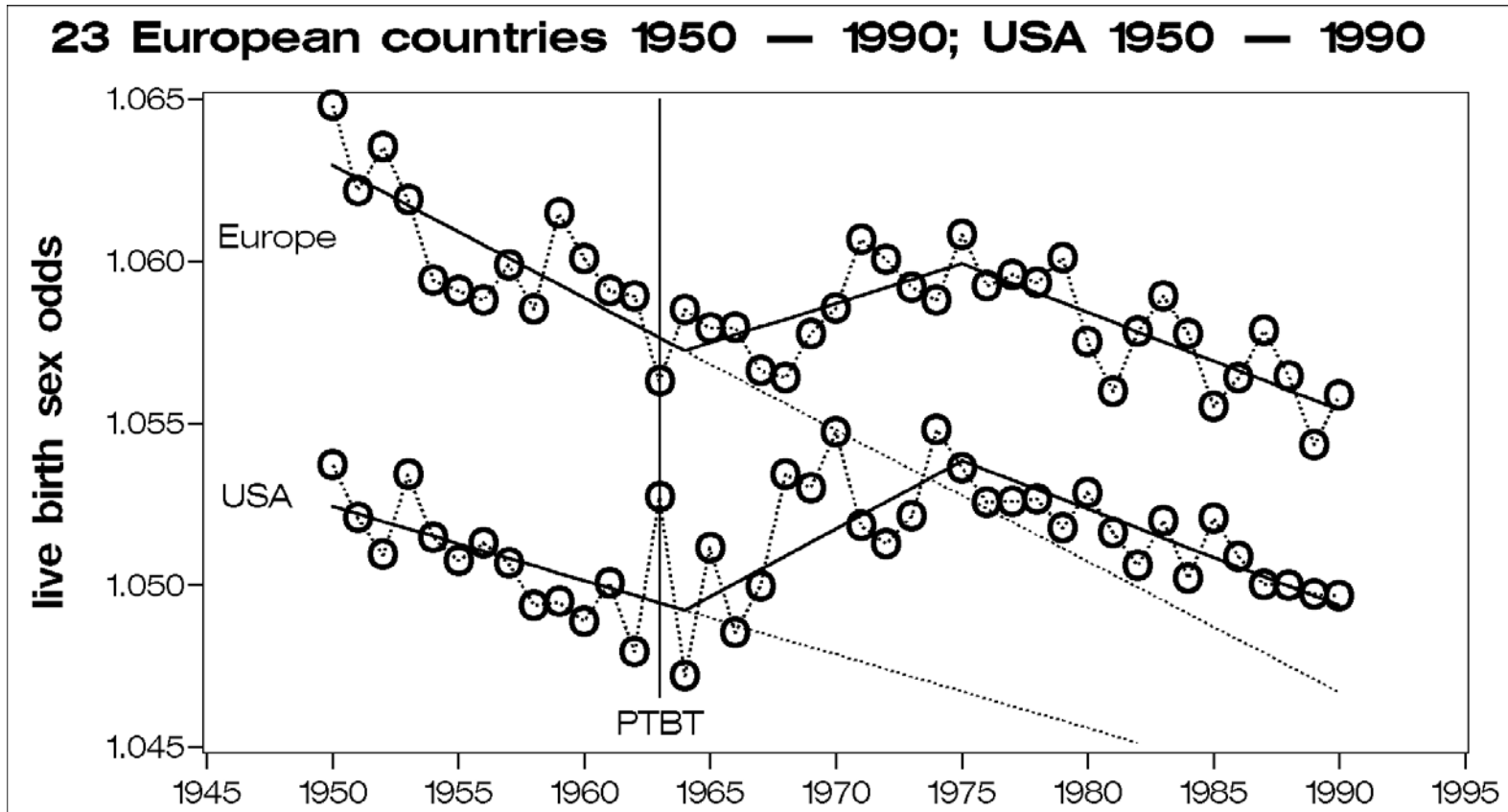
|              |    |  |
|--------------|----|--|
| Fathers only | => | sex odds  |
| Mothers only | => | sex odds  |
| Both parents | => | ???  |

**Schull WJ, Neel JV (1958).** Radiation and the sex ratio in man. *Science* 128: 343-348

**Dickinson HO et al. (1996).** The sex ratio of children in relation to paternal preconceptional radiation dose. *J Epidemiol Community Health* 50(6): 645–652

**Padmanabhan et al. (2004)** Heritable anomalies among the inhabitants of regions of normal and high background radiation in Kerala. *Int J Health Serv* 34 (3), 483-515

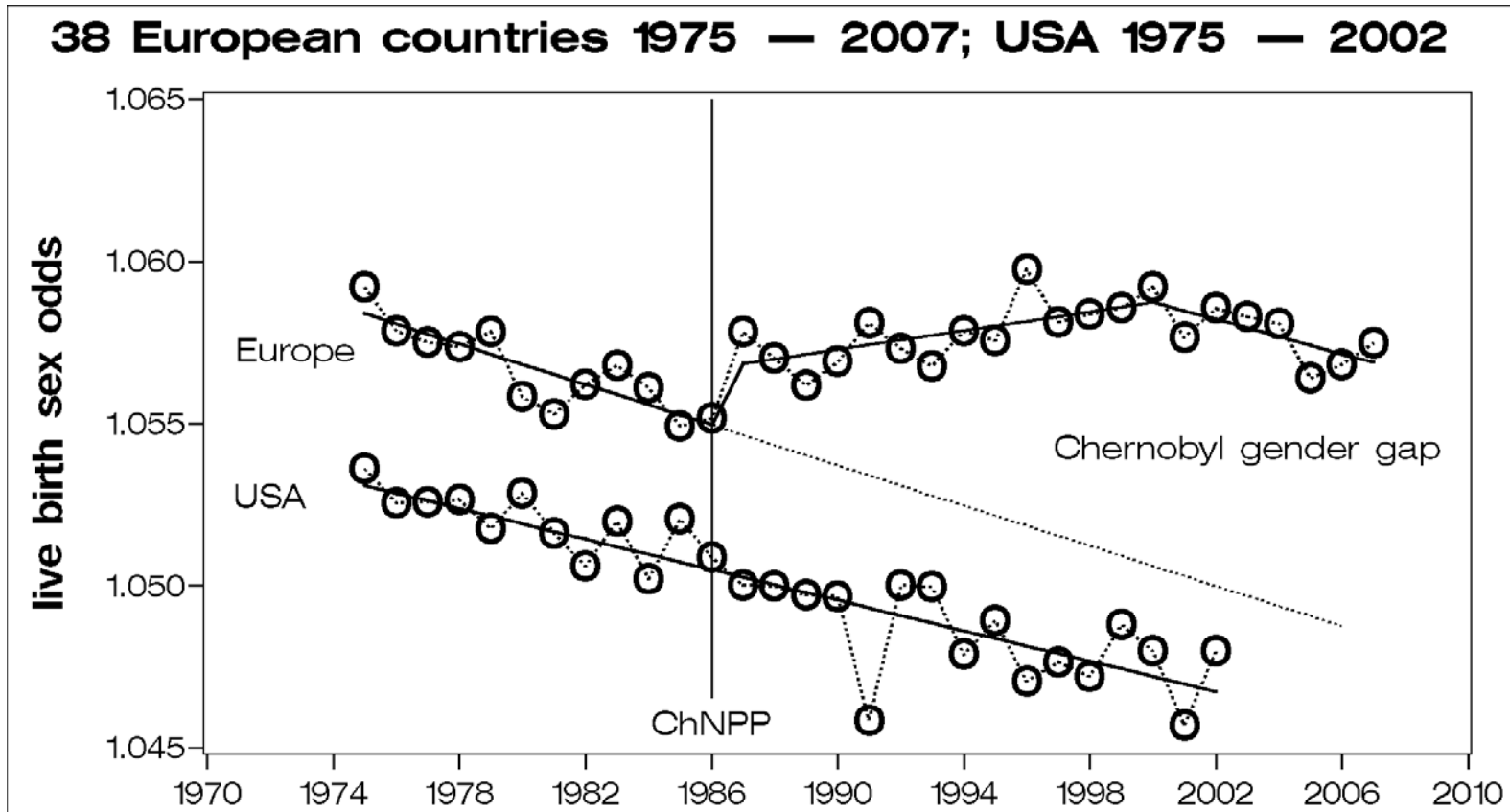
# Background / Motivation: SO and Atmospheric Atomic Bomb Testing



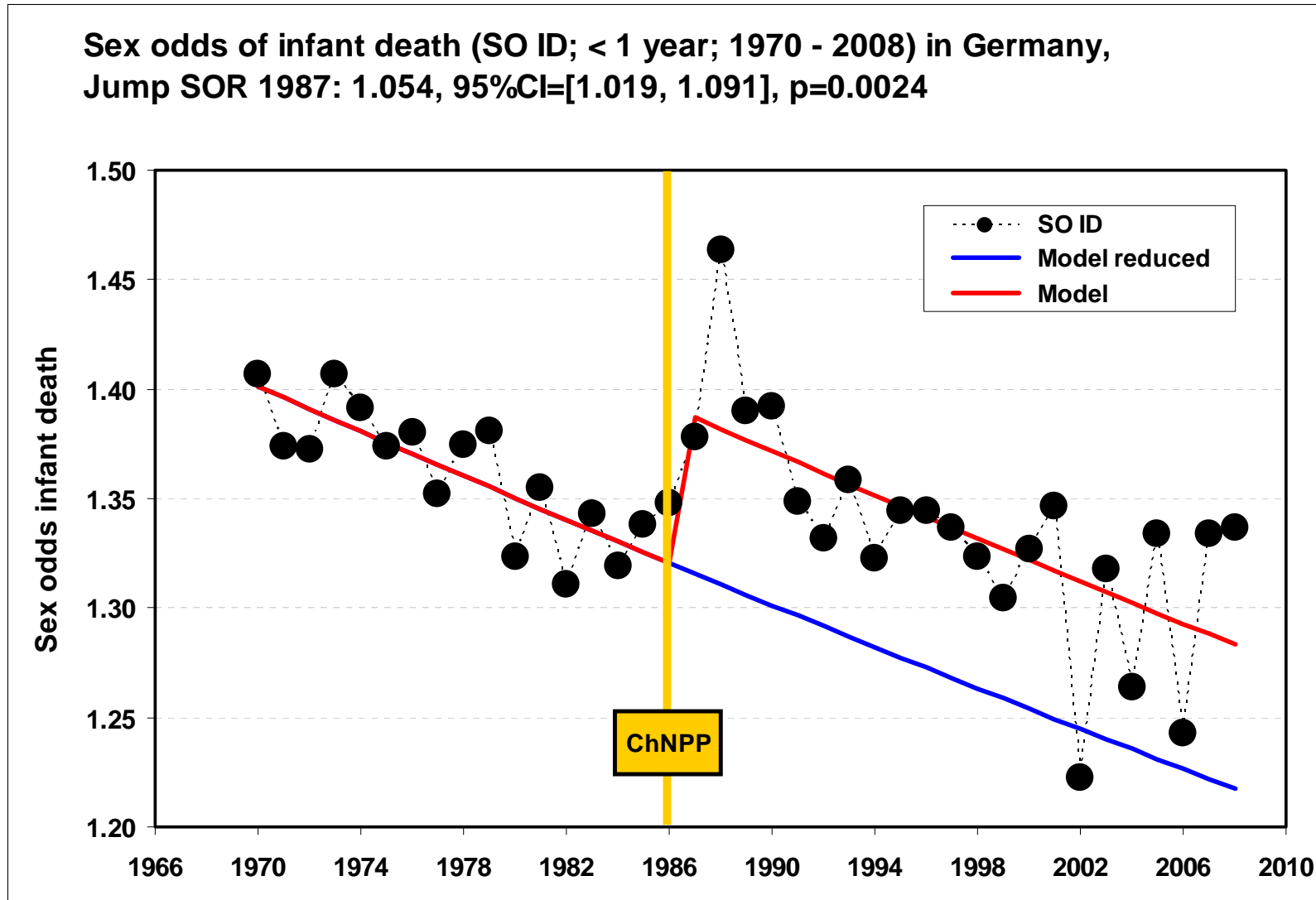
Trends of the live birth sex odds (male:female) in Europe and in the USA, 1950 to 1990 (Martuzzi et al. 2001; Mathews and Hamilton 2005), Synoptic reanalysis, submitted to ESPR, Environmental Science and Pollution Research

PTBT: Partial Test Ban Treaty

# Background / Motivation: SO and Chernobyl in Europe and USA



# Background / Motivation: SO Trend of Infant Death in Germany

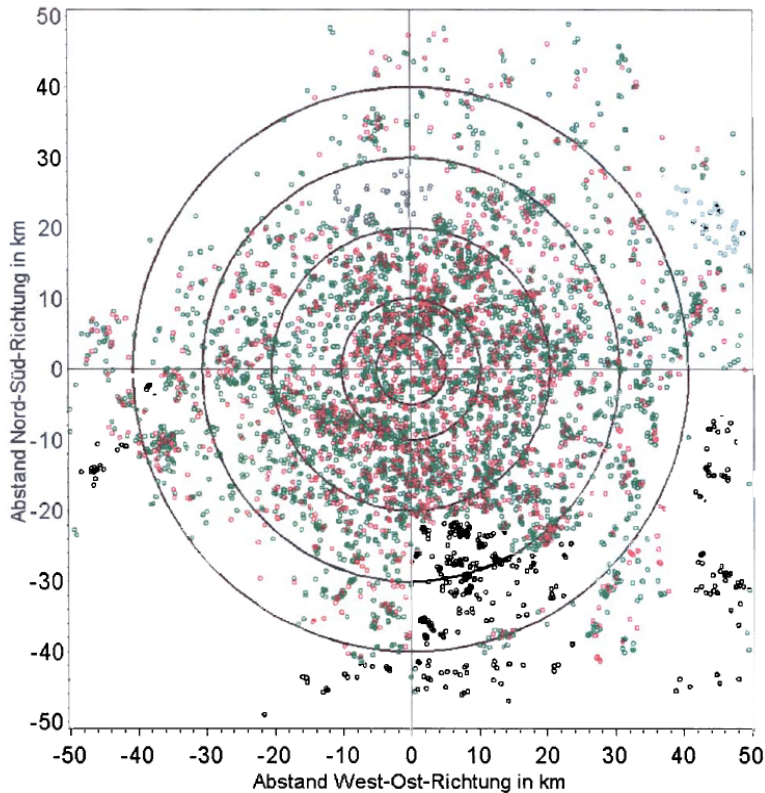




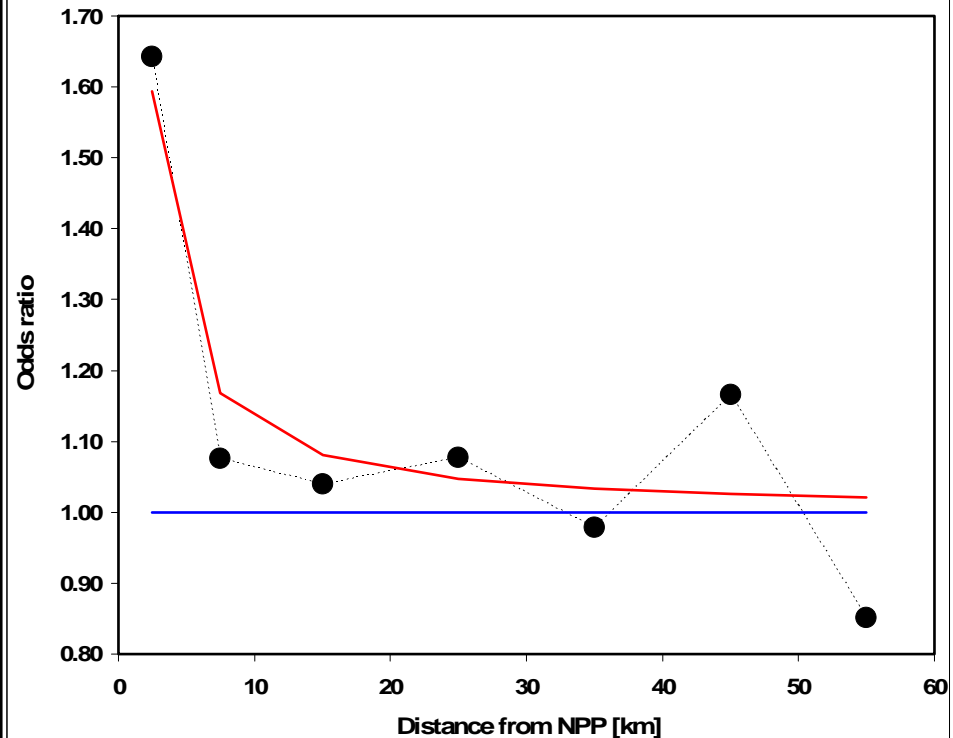
# Background / Motivation: KiKK study

## Childhood cancers are increased near nuclear power plants in Germany

Abbildung 3.3: Räumliche Lage der Fälle und Kontrollen zum jeweils nächstgelegenen Kernkraftwerk, dargestellt sind Abstände bis 50km  
Diagnose 1980-2003, alle Erkrankungen  
Auswertedatensatz, 1592 Fälle und 4735 Kontrollen



Odds ratio for childhood cancers (age < 5 years, 1980 - 2003)  
near German nuclear power plants (NPP)



# Background / Motivation: **Summary**

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- **SO<sub>Live Birth</sub> disturbed after the atmospheric bomb testing world wide**
- **SO<sub>Live Birth</sub> disturbed after Chernobyl in Europe and not in the USA**
- **SO<sub>Stillbirth</sub> disturbed after Chernobyl in Europe (<http://ije.oxfordjournals.org/content/29/3/596.full>)**
- **SO<sub>Infant Death < 1 year</sub> disturbed after Chernobyl in Germany**
- **Childhood cancers increased near German Nuclear Power Plants (NPP)**
- **The question arises: Is the SO also disturbed in the vicinity of NPP, or more generally, in the vicinity of NPP and Nuclear storage/processing Facilities (NF)?**
- **This question was first raised by the first author Ralf Kusmierz after he had perceived our Chernobyl–SO as well as the KiKK results. Ralf Kusmierz initiated this pilot study and he compiled and provided all the data including the uniform geographic coordinates for the municipalities and NF under study.**

## Official annual gender and municipality specific live birth data for the study region

- **Belgium,**
- **Switzerland**
- **German states**
  - **Baden-Württemberg**
  - **Bavaria**
  - **Lower Saxony**
  - **North Rhine-Westphalia**
  - **Rhineland-Palatinate**

**Official geographic coordinates of municipalities – at marked “central locations”**

**Official geographic coordinates of Nuclear Facilities (NF)**

**Operation time periods of those NF**

## Data: Municipalities and Live Births (LB)

| Region                           | Code of region | Municipalities |
|----------------------------------|----------------|----------------|
| Baden-Württemberg                | 2              | 1 102          |
| Bavaria                          | 1              | 2 056          |
| Belgium                          | 5              | 589            |
| Lower Saxonia                    | 6              | 1 024          |
| North Rhine-Westphalia           | 4              | 396            |
| Rhineland-Palatinate (districts) | 8              | 36             |
| Switzerland                      | 3              | 2 706          |
| <b>Combined</b>                  |                | <b>7 909</b>   |

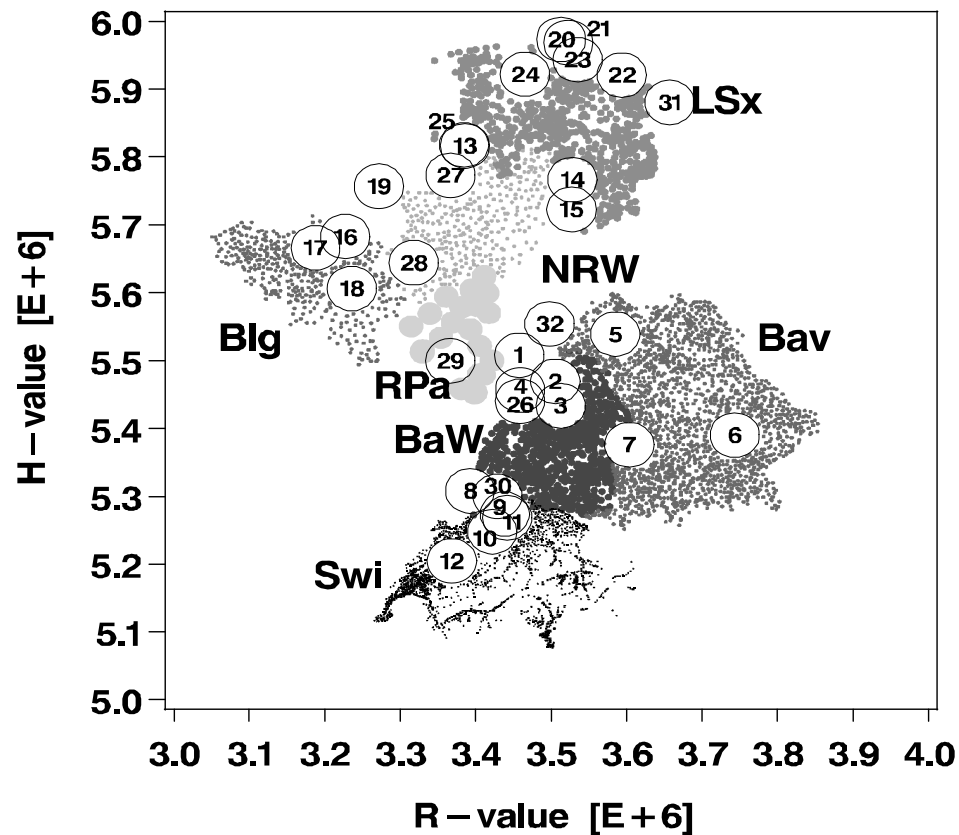
| Region                 | Data available | Total births      | Male births       | Sex odds      |
|------------------------|----------------|-------------------|-------------------|---------------|
| Baden-Württemberg      | 1975 - 2008    | 3 498 211         | 1 795 839         | 1.0549        |
| Bavaria                | 1972 - 2008    | 4 366 993         | 2 241 831         | 1.0549        |
| Belgium                | 1989 - 2007    | 2 230 030         | 1 141 451         | 1.0486        |
| Lower Saxonia          | 1971 - 2008    | 2 863 561         | 1 470 778         | 1.0560        |
| North Rhine-Westphalia | 1980 - 2008    | 5 033 665         | 2 584 664         | 1.0554        |
| Rhineland-Palatinate   | 1970 - 2008    | 1 468 616         | 754 120           | 1.0555        |
| Switzerland            | 1969 - 2008    | 3 182 400         | 1 633 929         | 1.0552        |
| <b>Combined</b>        |                | <b>22 643 476</b> | <b>11 622 612</b> | <b>1.0546</b> |

# Data: Nuclear Facilities by Type

| NF              | Type       |
|-----------------|------------|
| Brunsbuettel    | BWR        |
| <i>Dodewa*</i>  | <i>BWR</i> |
| Gundremmingen   | BWR        |
| Karlsruhe       | BWR        |
| Kruemmel        | BWR        |
| Leibstadt       | BWR        |
| Lingen          | BWR        |
| Muehleberg      | BWR        |
| Wuergassen      | BWR        |
| Isar I und II   | BWR/PWR    |
| Philipsburg     | BWR/PWR    |
| Hanau/Kahl      | NFE        |
| Ahaus           | NSS        |
| Gorleben        | NSS        |
| Juelich         | NSS        |
| Beznau I und II | PWR        |
| Biblis          | PWR        |
| <i>BR*</i>      | <i>PWR</i> |
| Brokdorf        | PWR        |
| <i>Doel*</i>    | <i>PWR</i> |
| Emsland         | PWR        |
| Fessenheim      | PWR        |
| Goesgen         | PWR        |
| Grafenreihnfeld | PWR        |
| Grohnde         | PWR        |
| Neckarwestheim  | PWR        |
| Obrigheim       | PWR        |
| Stade           | PWR        |
| <i>Tihange*</i> | <i>PWR</i> |
| Unterweser      | PWR        |
| Ellweiler       | UM         |
| Menzenschwand   | UM         |

# Data: Municipalities, Nuclear Facilities and the Study Region

## Municipalities, Nuclear Facilities (NF, xx), and the Study Region

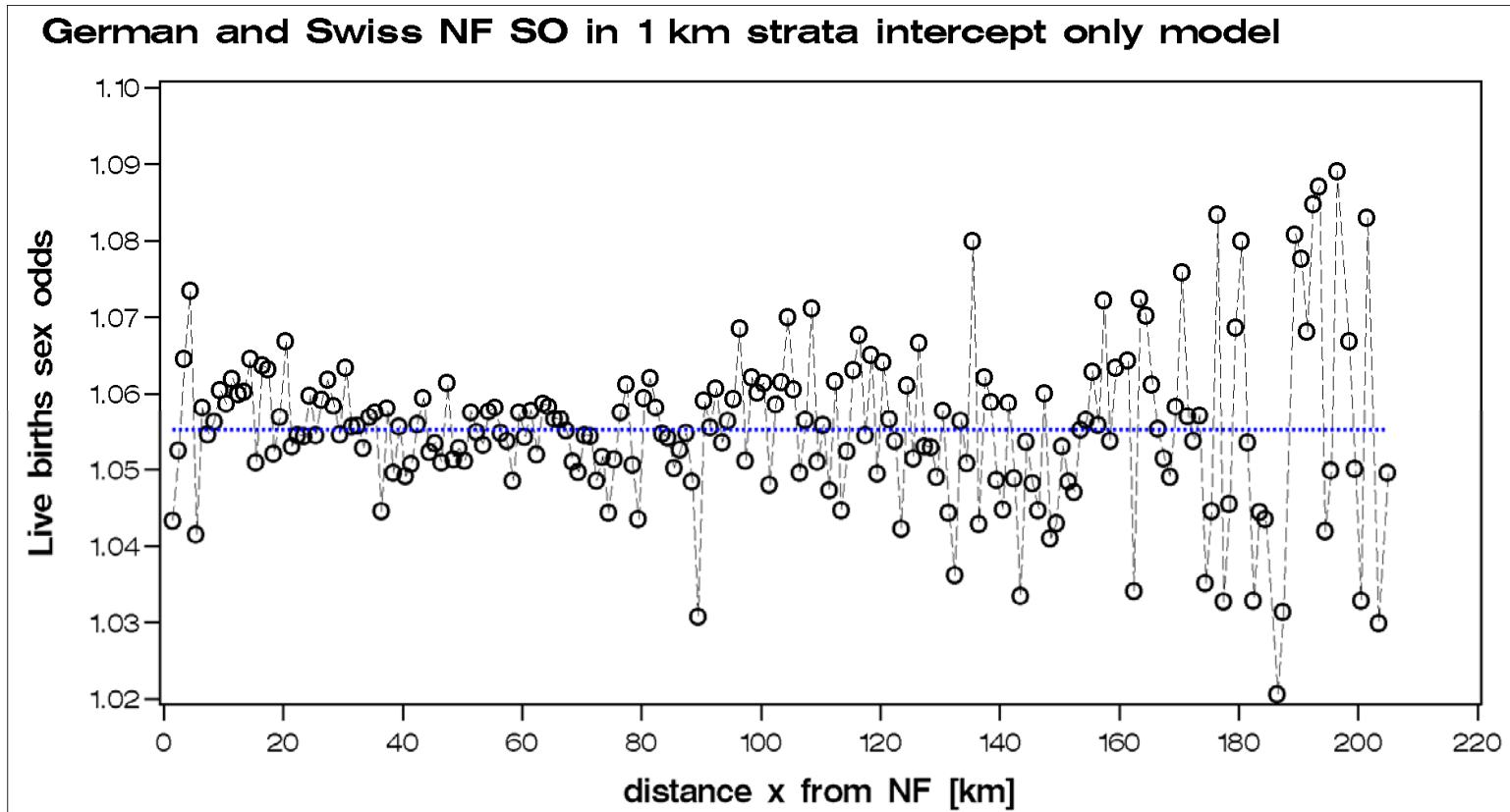


## Logistic model

- LB: Live Birth,  $\pi_x$  Binomial probability parameter at distance  $x$
- $\text{Boys}_x \sim \text{Binomial}(\text{LB}_x, \pi_x)$
- **Simple example:** Constant jump below 5 km distance  
 $d5(x) = 1$  for  $x < 5$  km;  $d5(x) = 0$  for  $x \geq 5$  km ( $x = \text{distance [km]}$ )

$$\log \text{ odds } (\pi_x) = \text{intercept} + \alpha * d5(x)$$

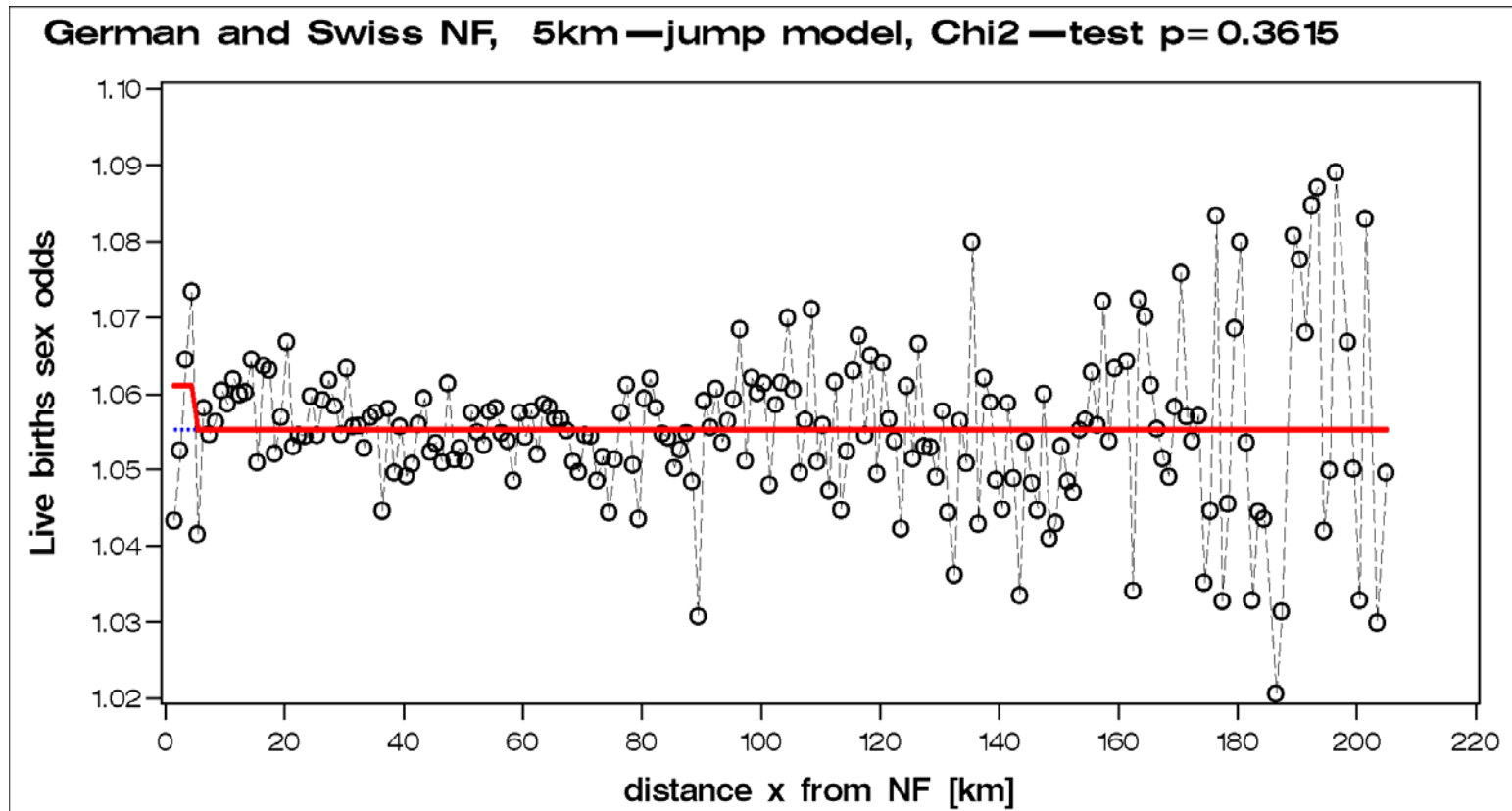
# Results: Display of the LB SO in Aggregated 1 km Distance Categories



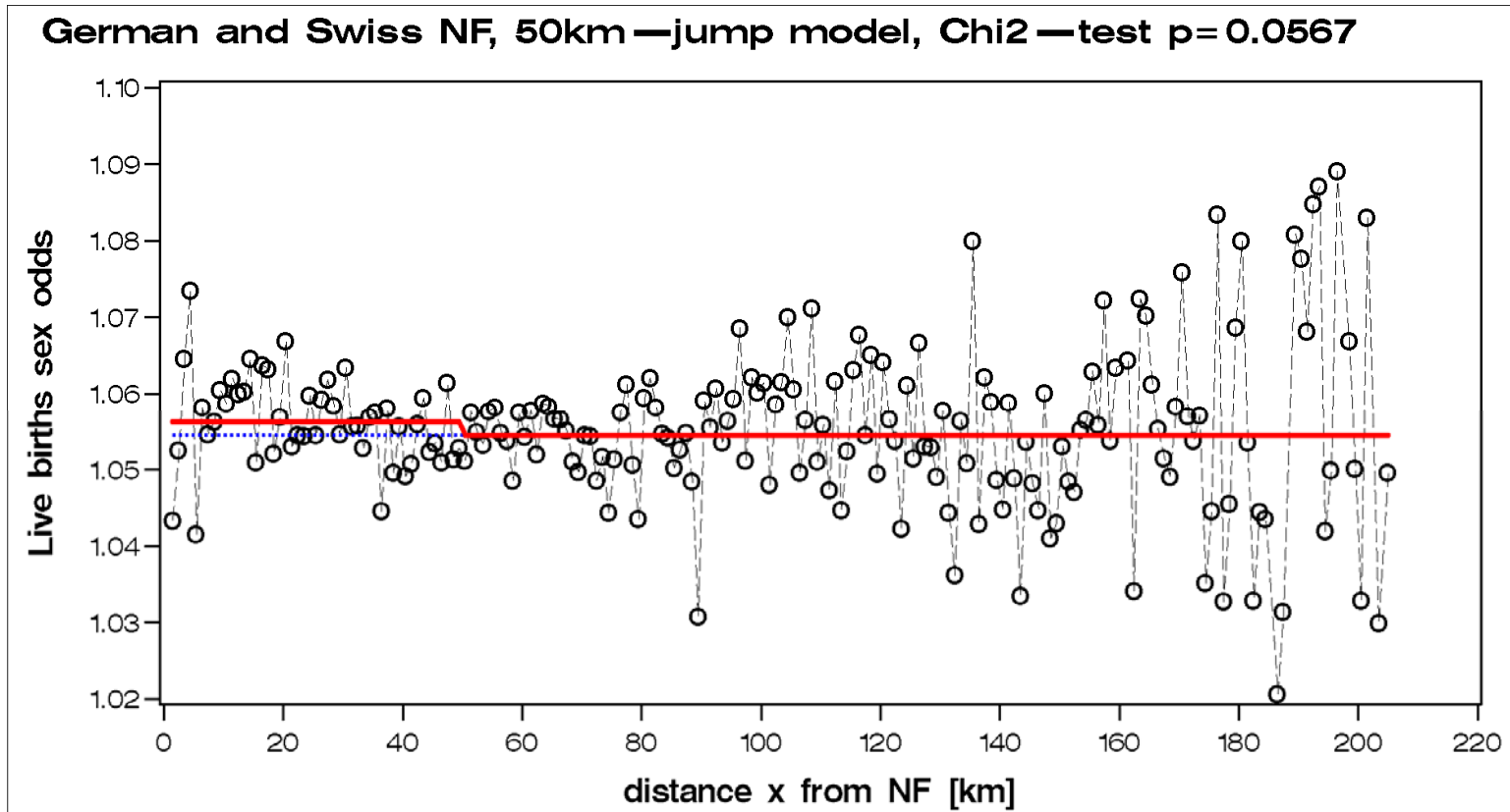
**Question/Hypothesis:** Is the SO in the vicinity of NF (say  $0 < \text{km} < 20$ ) different from the SO in the rest of the study region during the respective operation time periods of the NF?



# Results: 5 km – Jump Model

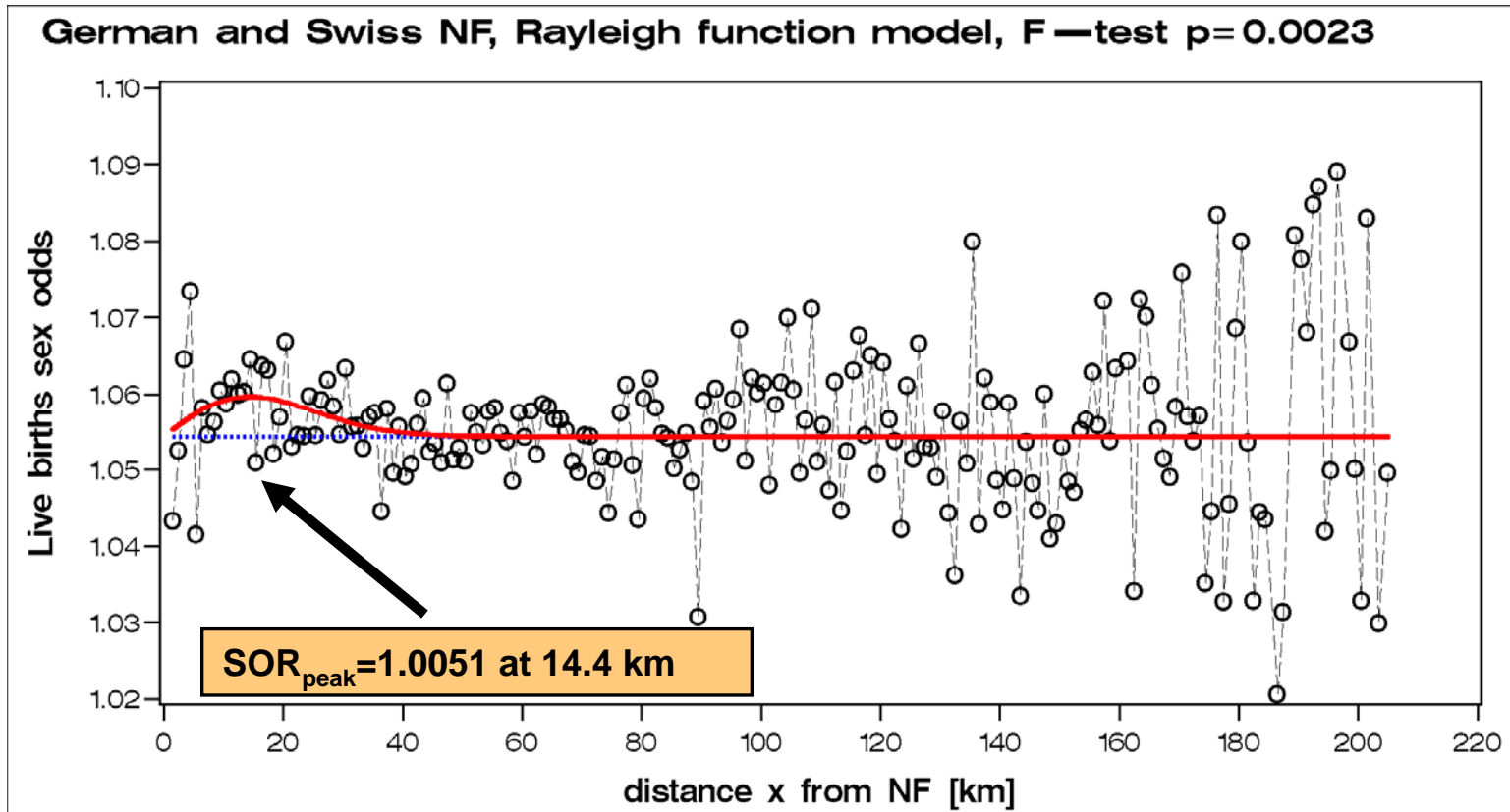


# Results: 50 km – Jump Model



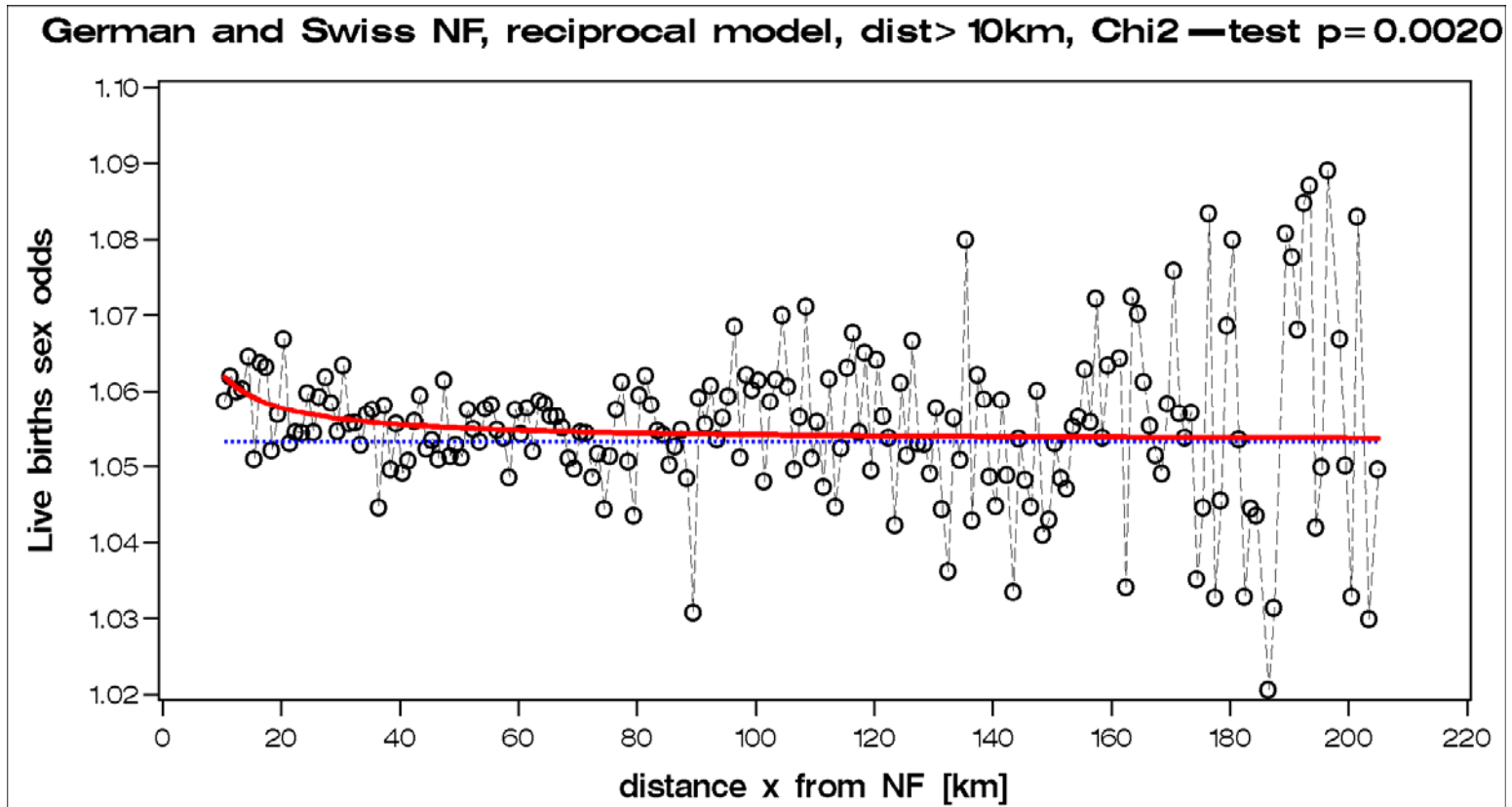
**Fixed distances are arbitrary! Therefore, we utilized Rayleigh functions to avoid this.**

# Results: “Impartial” Rayleigh Function $\ln(\text{SO}) = a+b*x*\text{Exp}(-c*x^2)$



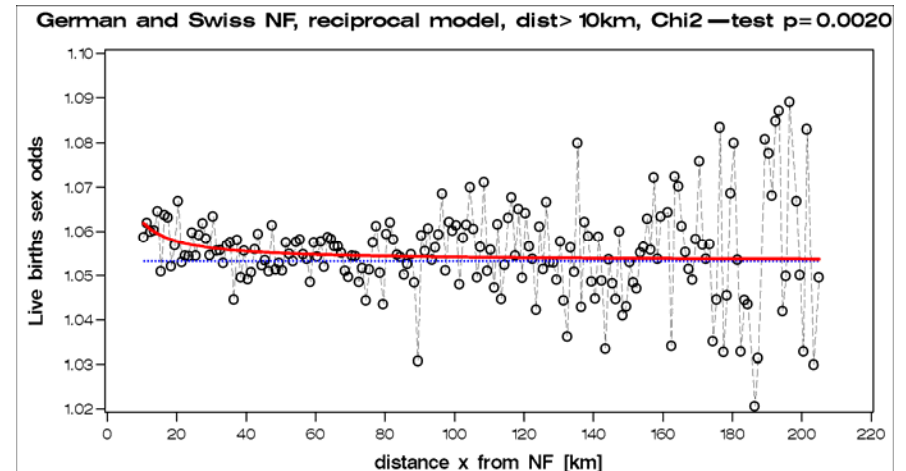
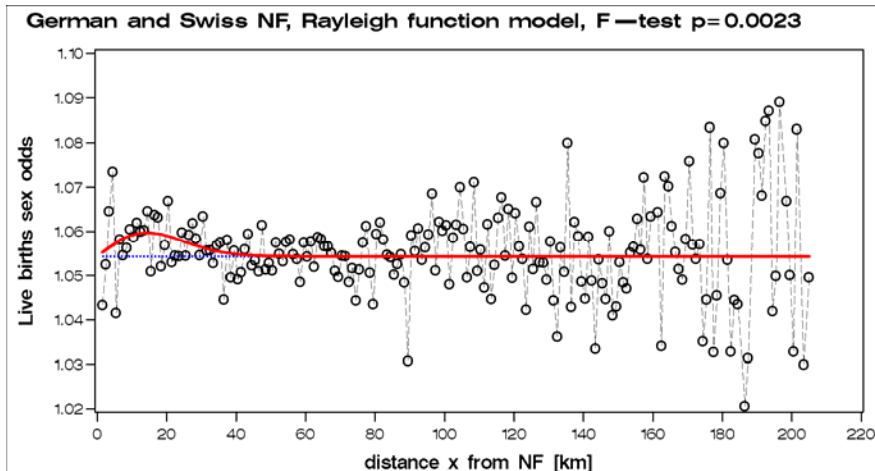
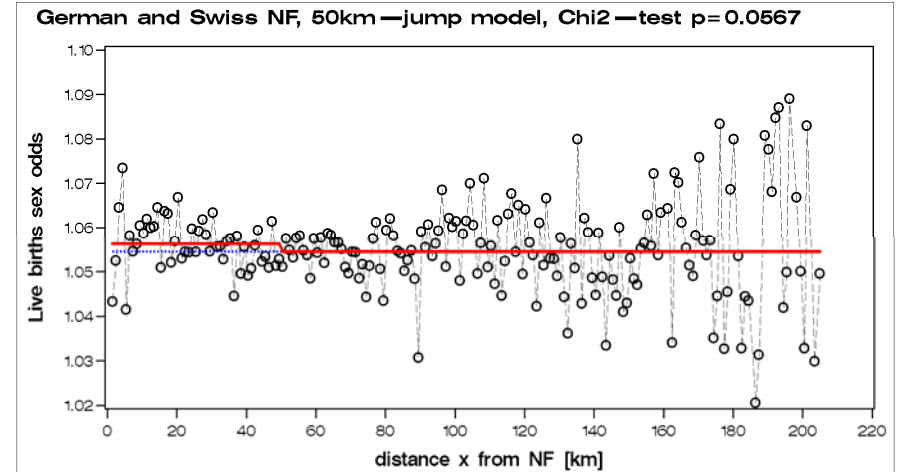
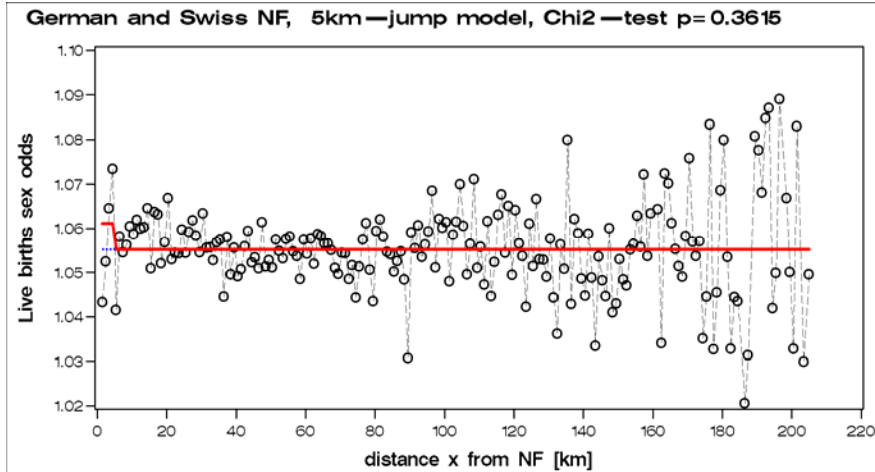
In probability theory and statistics, the Rayleigh distribution is a continuous probability distribution. As an example of how it arises, the wind speed will have a Rayleigh distribution if the components of the two-dimensional wind velocity vector are uncorrelated and normally distributed with equal variance. The distribution is named after Lord Rayleigh. (WIKIPEDIA)

# Results: Reciprocal Function Beyond 10 km, $\ln(\text{SO}) = a + b/x$



**A reciprocal distance law ( $1/r$ ) was applied in the KiKK study, but here it works only when data are restricted to distances greater than 10 km**

# Results: Overview on Alternative Models



## Results: Single Site Specific Analyses

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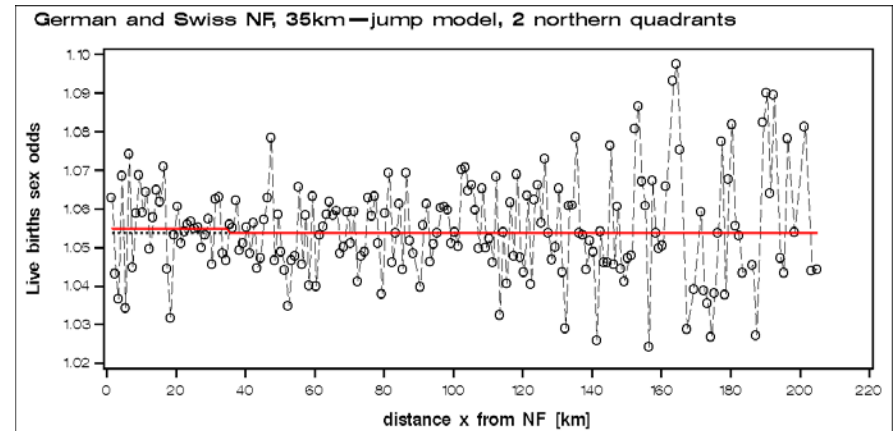
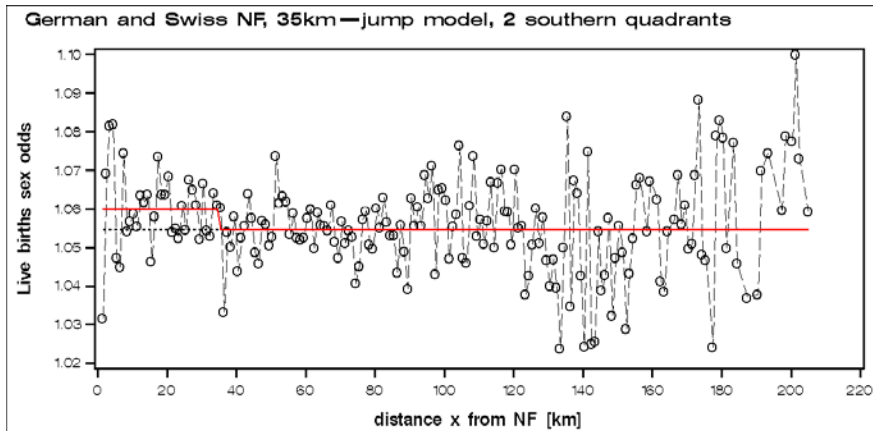
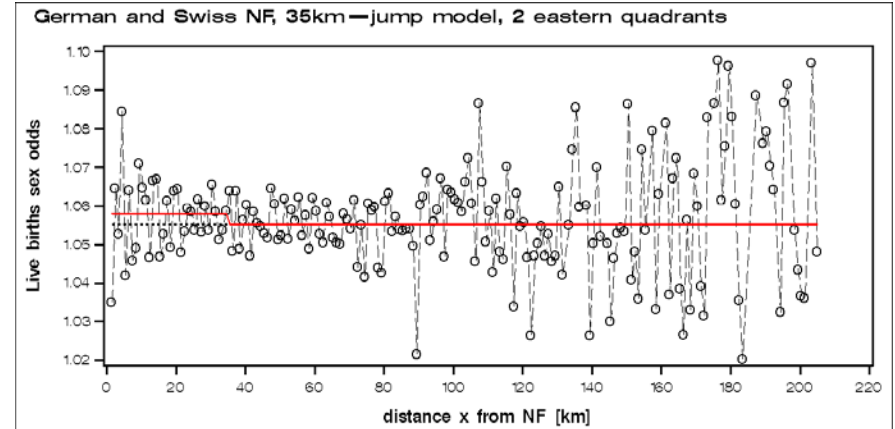
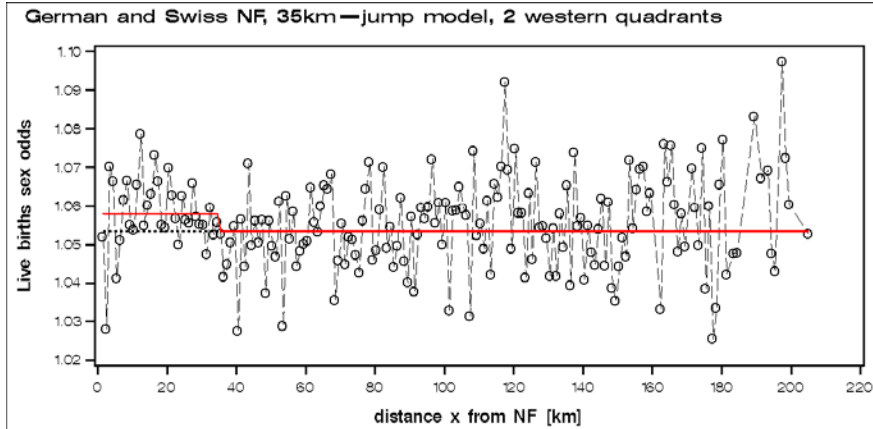
**“Optimum” balance between effect and power between 30 and 40 km.**

**Therefore, we decided to consider 35 km circles around the NF during the respective operation time periods.**

# Results: NF and Results for 35 km Circles

| No.<br>(s. Fig. 2) | NF              | Type    | In operation<br>since/to | Live births < 35 km<br>during NF operation,<br>lagged for gestation |           | Sex odds ratio<br>vs. last row of<br>this Table | p-value<br>(Chi <sup>2</sup> ) | hold one NF out<br>p-value (Chi <sup>2</sup> ),<br>compare to ** |
|--------------------|-----------------|---------|--------------------------|---|-----------|---|--------------------------------|--|
|                    |                 |         |                          | male  | female    |   |                                |  |
| 1                  | Biblis          | PWR     | 1975 -                   | 223 648   | 211 753   | 1.0017  | 0.5804                         | 0.0007   |
| 2                  | Obrigheim       | PWR     | 1969 - 2005              | 164 321   | 155 447   | 1.0026  | 0.4733                         | 0.0010   |
| 3                  | Neckarwestheim  | PWR     | 1976 -                   | 380 463   | 360 212   | 1.0017  | 0.4640                         | 0.0005   |
| 4                  | Philipsburg     | BWR/PWR | 1980 -                   | 333 967   | 314 761   | 1.0063  | 0.0133                         | 0.0019   |
| 5                  | Grafenreihfeld  | PWR     | 1981 -                   | 95 714  | 90 722    | 1.0006  | 0.8957                         | 0.0007   |
| 6                  | Isar I und II   | BWR/PWR | 1977 -                   | 67 059  | 63 341    | 1.0041  | 0.4627                         | 0.0011   |
| 7                  | Gundremmingen   | BWR     | 1966 -                   | 142 702   | 135 276   | 1.0005  | 0.8986                         | 0.0006   |
| 8                  | Fessenheim      | PWR     | 1977 -                   | 99 148  | 93 694    | 1.0036  | 0.4290                         | 0.0012   |
| 9                  | Beznau I und II | PWR     | 1969 -                   | 337 335   | 317 880   | 1.0065  | 0.0106                         | 0.0031   |
| 10                 | Goesgen         | PWR     | 1979 -                   | 220 979   | 208 604   | 1.0047  | 0.1308                         | 0.0005   |
| 11                 | Leibstadt       | BWR     | 1984 -                   | 143 467   | 135 293   | 1.0057  | 0.1354                         | 0.0008   |
| 12                 | Muehleberg      | BWR     | 1971 -                   | 218 795   | 207 560   | 0.9998  | 0.9387                         | 0.0004   |
| 13                 | Emsland         | PWR     | 1988 -                   | 55 502  | 52 301    | 1.0065  | 0.2915                         | 0.0011   |
| 14                 | Grohnde         | PWR     | 1984 -                   | 84 739  | 80 308    | 1.0008  | 0.8791                         | 0.0009   |
| 15                 | Wuergassen      | BWR     | 1972 - 1994              | 34 453  | 32 643    | 1.0010  | 0.8960                         | 0.0010   |
| 16                 | BR*             | PWR     | 1962 - 1987              | 5 332   | 5 288     | 0.9563  | -                              | -  |
| 17                 | Doel*           | PWR     | 1974 -                   | 392 512   | 375 500   | 0.9914  | -                              | -  |
| 18                 | Tihange*        | PWR     | 1975 -                   | 122 594   | 117 476   | 0.9897  | -                              | -  |
| 19                 | Dodewa*         | BWR     | 1968 - 1997              | 5 926   | 5 710     | 0.9843  | -                              | -  |
| 20                 | Brunsbuettel    | BWR     | 1977 -                   | 21 085  | 20 003    | 0.9997  | 0.9779                         | 0.0010   |
| 21                 | Brokdorf        | PWR     | 1986 -                   | 15 505  | 14 769    | 0.9957  | 0.7073                         | 0.0009   |
| 22                 | Kruemmel        | BWR     | 1984 -                   | 35 882  | 33 745    | 1.0085  | 0.2662                         | 0.0012   |
| 23                 | Stade           | PWR     | 1975-2003                | 43 456  | 40 771    | 1.0109  | 0.1174                         | 0.0021   |
| 24                 | Unterweser      | PWR     | 1979 -                   | 86 010  | 81 341    | 1.0029  | 0.5608                         | 0.0010   |
| 25                 | Lingen          | BWR     | 1968 - 1977              | 19 372  | 18 400    | 0.9985  | 0.8862                         | 0.0007   |
| 26                 | Karlsruhe       | BWR     | 1966 - 1991              | 149 269   | 140 584   | 1.0070  | 0.0624                         | 0.0007   |
| 27                 | Ahaus           | NSS     | 2000 -                   | 26 427  | 24 866    | 1.0080  | 0.3701                         | 0.0009   |
| 28                 | Juelich         | NSS     | 2000 -                   | 75 735  | 71 688    | 1.0020  | 0.7076                         | 0.0008   |
| 29                 | Ellweiler       | UM      | 1969 -                   | 31 361  | 29 450    | 1.0100  | 0.2225                         | 0.0013   |
| 30                 | Menzenschwand   | UM      | 1969 -                   | 132 037   | 124 574   | 1.0052  | 0.1892                         | 0.0012   |
| 31                 | Gorleben        | NSS     | 2000 -                   | 1 753   | 1 573     | 1.0570  | 0.1108                         | 0.0010   |
| 32                 | Hanau/Kahl      | NFE     | 1969 -                   | 54 772  | 51 343    | 1.0118  | 0.0577                         | 0.0021   |
|                    | < 35 km from NF |         |                          | 2 532 471   | 2 393 556 | 1.0035  | ** 0.0008                      |  |
|                    | > 35 km from NF |         |                          | 7 948 690   | 7 538 729 | 1.0000  | 1.0000                         |  |

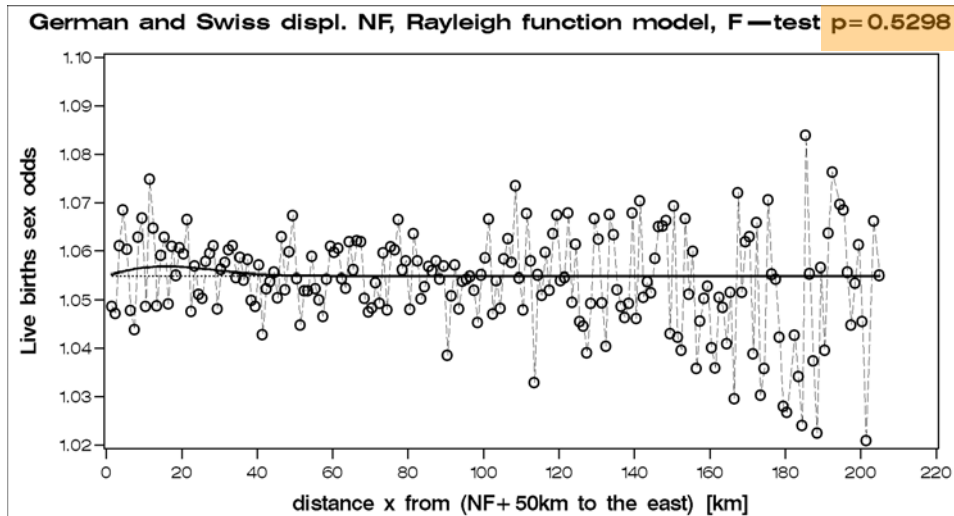
# Results: Direction Specific Analyses



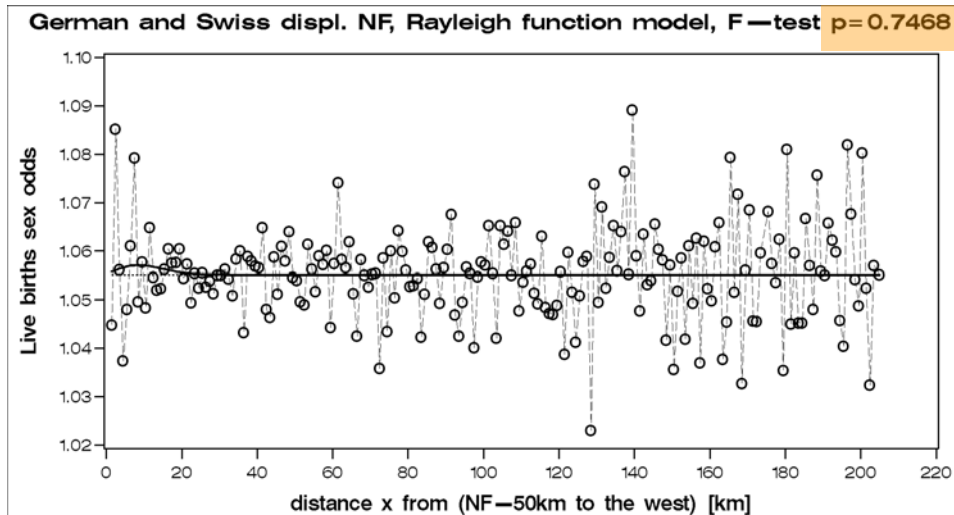
The effect seems to be more diluted in the northern and eastern directions and more concentrated in the southern and western directions. However, statistical power may become a concern when stratifying the data.



# Results: Plausibility Analyses



The Rayleigh functions become insignificant when displacing all 28 NF 50 km to the east or 50 km to the west.



# Summary

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- The human sex odds at birth (SO) is increased globally after the atmospheric atomic bomb testing and after Chernobyl in Europe
- Childhood cancers are increased near Nuclear Power Plants (NPP)
- The human sex odds at birth (SO) is increased near nuclear facilities (NF) in a way that could be associated with radioactive releases during routine operation of those facilities:

$$\text{SOR}_{\text{peak}} = 1.0051 \text{ at } 14.4 \text{ km, } 95\text{-CI} = [10.9 \text{ km, } 29.3 \text{ km}]$$

# **Conclusions and Outlook: Improving Our Preliminary Pilot Study**

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- **Updating the data set:** Missing German states, time periods, NF
- **More specific/appropriate distance laws**
- **Monte Carlo simulations:** p-values, confidence limits
- **Direction specific distance laws:** North, East, South, West
- **Including possible confounding sites:** e.g. coal-burning power plants
- **Including possible confounding temporal trends:** e.g. before/after Chernobyl
- **Similar investigations from other countries are recommended**
- **Extensive corresponding analyses are needed to support or refute our findings**

# **Conclusions and Outlook: Environmental Health Data and Studies**

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- **Important data on underestimated environmental and health topics are partly available**
- **However, often there is no (optimum) utilization of the existing data bases**
- **Thus, greater input from mathematicians and statisticians is urgently needed to scrutinize those data**
- **To achieve this goal, the full spectrum of different data analysis approaches should be considered and applied appropriately**
- **Improved interdisciplinary skills are needed at all stages of environmental health research**

# Thank you for your attention

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